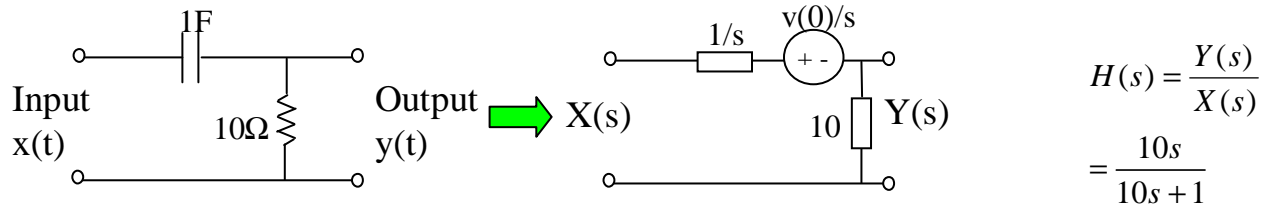


## More about ZSR and ZIR

### 1. Finding unknown initial conditions:

Given the following circuit with unknown initial capacitor voltage  $v(0)$ :



$$H(s) = \frac{Y(s)}{X(s)} = \frac{10s}{10s+1}$$

Simple mesh analysis yield

$$X(s) = \frac{Y(s)}{10s} + \frac{v(0)}{s} + Y(s)$$

$$Y(s) = \frac{10s}{10s+1} X(s) - \frac{10v(0)}{10s+1}$$

Immediately, we know that the transfer function  $H(s)$  is

$$H(s) = \frac{10s}{10s+1}$$

and the ZSR and ZIR are respectively

$$\checkmark Y_{ZSR}(s) = \frac{10s}{10s+1} X(s)$$

$$Y_{ZIR}(s) = -\frac{10v(0)}{10s+1}$$

So, if you use a step function  $x(t) = u(t)$  as input, you get an exponential decay

output  $y(t) = \frac{1}{2} e^{-10t} u(t)$  *total response =  $Y_{ZSR} + Y_{ZIR}$*

$$Y(s) = \mathcal{L}(y(t)) = \mathcal{L}\left[\frac{1}{2} e^{-10t} u(t)\right] = \frac{1/2}{s+10} = \frac{5}{10s+1}$$

$$X(s) = \mathcal{L}(x(t)) = \mathcal{L}[u(t)] = \frac{1}{s}$$

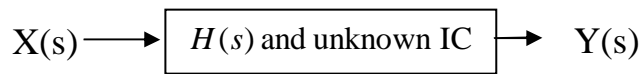
$$Y(s) = Y_{ZSR}(s) + Y_{ZIR}(s) = \frac{10s}{10s+1} \cdot \frac{1}{s} - \frac{10v(0)}{10s+1}$$

$$= \frac{10}{10s+1} - \frac{10v(0)}{10s+1}$$

$$= \frac{10[1-v(0)]}{10s+1} = Y(s) = \frac{5}{10s+1}$$

$$\Rightarrow 10[1-v(0)] = 5 \Rightarrow v(0) = \frac{1}{2} v$$

2. Find out about an unknown system:



How do we find an unknown system and initial conditions?

Answer: Input a test signal and measure the output. Then use the I/O relationship to figure out the system

Example: The output of the system is  $Y(s) = \frac{5}{10s+1}$  for an input  $X(s) = \frac{1}{s}$

So, is the transfer function  $H(s) = \frac{Y(s)}{X(s)} = \frac{5s}{10s+1}$  ?   
*→ Did not take into the account the INITIAL CONDITION! Remember H(s) assumes Zero IC!!*

NO, we've forgotten the Initial Conditions!!

It should be clear that ONE pair of I/O signals will not be sufficient, we need two. Why? As we have learnt, the total output response of a system is

$$Y(s) = H(s)X(s) + \frac{C(s)}{D(s)}$$

If we have two I/O pairs, we have

$$Y_1(s) = H(s)X_1(s) + \frac{C(s)}{D(s)} \quad (1)$$

$$Y_2(s) = H(s)X_2(s) + \frac{C(s)}{D(s)} \quad (2)$$

Subtracting (2) from (1) eliminates the initial conditions and obtains the transfer function:

$$H(s) = \frac{Y_1(s) - Y_2(s)}{X_1(s) - X_2(s)} \quad \checkmark$$

The initial conditions can then be computed by substituting H(s) back to (1) or (2).

Try the above example again with another I/O pairs:  $X(s) = 1$  and  $Y(s) = \frac{10s+4}{10s+1}$

$$\begin{aligned}
 X_1(s) = \frac{1}{s}, \quad Y_1(s) = \frac{5}{10s+1} &\Rightarrow H(s) = \frac{\frac{10s+4}{10s+1} - \frac{5}{10s+1}}{1 - \frac{1}{s}} = \frac{\frac{10s-1}{10s+1}}{\frac{s-1}{s}} \\
 X_2(s) = 1, \quad Y_2(s) = \frac{10s+4}{10s+1} &= \frac{(10s-1)s}{(10s+1)(s-1)} + \frac{C(s)}{(10s+1)(s-1)}
 \end{aligned}$$

$$Y_2(s) = \frac{10s+4}{10s+1} = H(s)X_2(s) + \frac{C(s)}{D(s)} = \frac{(10s-1)s}{(10s+1)(s-1)} \cdot 1 + \frac{C(s)}{(10s+1)(s-1)}$$

**Stability of systems**

We first need to introduce the concepts of BOUNDED and TRANSIENT signals. There are two types of well-behaved signals:

1) Bounded:  $|x(t)| \leq M < \infty$  for all t.

2) Transient:  $\lim_{t \rightarrow \infty} x(t) = 0$

Are these signals bounded? Are they transient?

- 1)  $\delta(t)$  Transient, Not bounded
- 2)  $\cos(t)u(t)$  NOT tran, Bounded.
- 3)  $t \sin(t)u(t)$  Not tran, ~~B~~ Not Bounded
- 4)  $e^{-t}u(t)$
- 5)  $e^t u(t)$



Answers:

- 1)  $\delta(t)$  Not bounded and transient
- 2)  $\cos(t)u(t)$  Bounded and not transient
- 3)  $t \sin(t)u(t)$  Not bounded and not transient
- 4)  $e^{-t}u(t)$  Bounded and transient
- 5)  $e^t u(t)$  Not bounded and not transient

Can you tell from their Laplace transforms?

Exclude the imaginary axis!

A sufficient condition for a signal to be a transient signal: The poles on its Laplace Transform must be on the open left half plane.

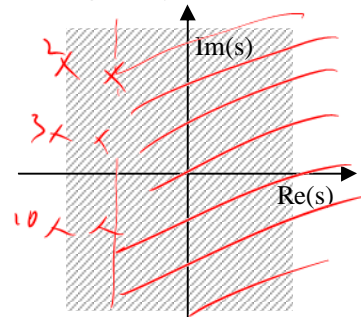
This condition is the same as saying the ROC contains the imaginary axis.

$\Rightarrow$  the ROC contains the origin

$\Rightarrow \int_0^{\infty} |x(t)| dt < \infty$

$\Rightarrow \lim_{t \rightarrow \infty} x(t) = 0$

$\Rightarrow$  transient



A bounded signal must satisfy the following two conditions:

1. Its Laplace transform must be proper.

i.e. if  $X(s) = \frac{N_x(s)}{D_x(s)}$ ,  $\text{degree}(N_x(s)) < \text{degree}(D_x(s))$

2. The poles must either be

a/ on the open left half plane or

b/ on the imaginary axis AND simple (i.e. multiplicity = 1).



$\frac{1}{(s^2+1)} = \frac{1}{(s-j)(s+j)}$  ✓  $\frac{1}{(s^2+1)^2} = \frac{1}{(s-j)^2(s+j)^2}$  ✗

Reason for Condition 1:

Consider  $\delta(t)$ ,  $L[\delta(t)] = 1$  is not proper.

For general  $X(s)$ , if  $\text{degree}(N_x(s)) \geq \text{degree}(D_x(s))$ , applying long division:

$$X(s) = a_n s^n + a_{n-1} s^{n-1} + \dots + a_0 + \frac{R_x(s)}{D_x(s)} \Rightarrow L^{-1}[X(s)] = a_n \delta^{(n)}(t) + a_{n-1} \delta^{(n-1)}(t) + \dots + a_0 \delta(t) + \dots$$

Thus,  $x(t)$  is not bounded due to the delta functions. ✓

Reason for Condition 2:

*Okay for the LHP poles to have multiplicity > 1 ∵ exponential signal decay faster!!*

If all the poles are on the open left half plane, we know the signal decays to zero.

If there are poles on the imaginary axis, there are two cases: ✓

Case 1: Poles are simple, i.e. multiplicity = 1 such as  $L[\cos(t)] = s/(s^2+1)$ . In this case, the signal is oscillating but still bounded.

Case 2: Poles are not simple, i.e. multiplicity > 1 such as  $L[t \sin(t)] = 1/(s^2+1)^2$ . In this case, the signal is not bounded.

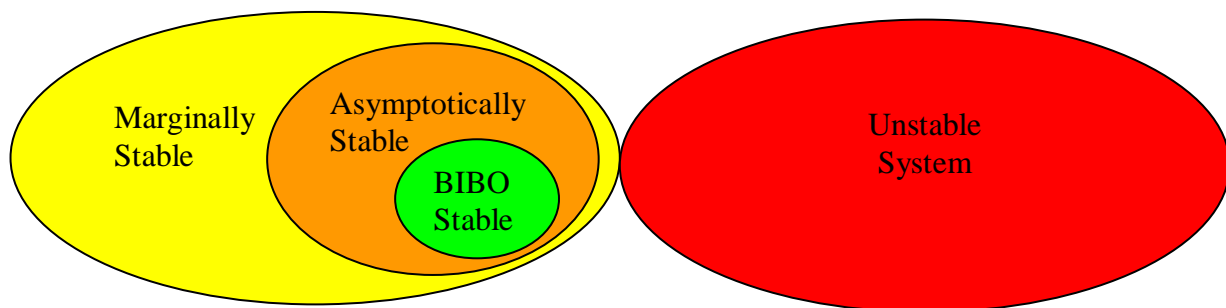
*expanding envelope ⇒ unbounded*

Now we come back to study the stability of a system.

All systems can be classified into stable or unstable. There are three different types of stable systems.

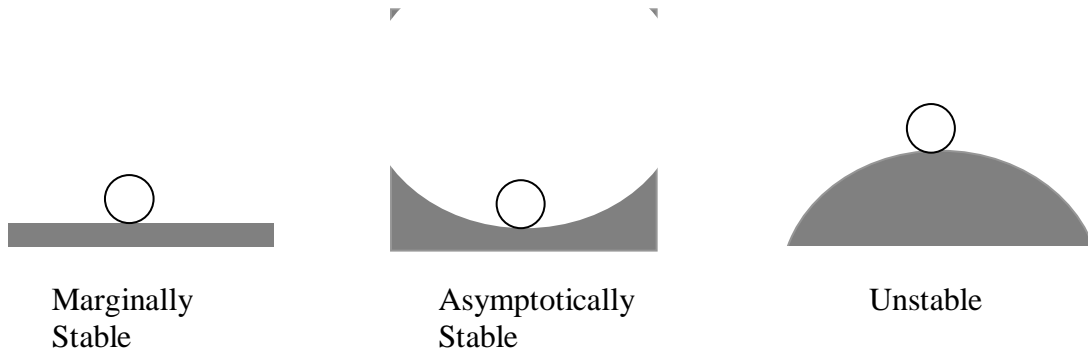
1. Bounded-Input Bounded-Output Stable (BIBO) System
2. Asymptotic Stable System
3. Marginally Stable System

Here is a Venn diagram that describes the classification.



BIBO describes systems behavior subjected to general input, assuming zero initial conditions.

Asymptotic, marginally, and unstable systems refer to the long-term behavior of a system under any initial conditions but no input.



Recall: Given a system  $H(s) = \frac{N(s)}{D(s)}$  and input  $X(s)$ , the most general form of

output is  $Y(s) = \underbrace{\frac{C(s)}{D(s)}}_{\text{ZIR}} + \underbrace{\frac{N(s)}{D(s)} X(s)}_{\text{ZSR}}$

*Handwritten notes: "Transfer fn" above N(s)/D(s), "Input" above X(s), "ZSR" below the second term.*

The first term is the ZIR and the second term is ZSR.

BIBO Stability

Definition: Assume zero initial state, a system  $H(s)$  is BIBO stable if it outputs a bounded output for **any bounded input**.

A BIBO system  $H(s)$  must satisfy the following two conditions:

1. If  $H(s) = \frac{N(s)}{D(s)}$   $\text{degree}(N(s)) \leq \text{degree}(D(s))$ . *(Not the same as proper  $\therefore$  proper  $\hat{=}$   $\text{deg}(N(s)) < \text{deg}(D(s))$ )*
2. The poles of  $H(s)$  must be on the open left half plane.

As there is zero initial condition, the output  $Y(s)$  is just  $\frac{N(s)}{D(s)} X(s)$ . To ensure  $Y(s)$  is

bounded, we need to check two things:

$Y(s) = \frac{N(s)}{D(s)} \cdot X(s) = \frac{N(s)}{D(s)} \frac{X_N(s)}{X_D(s)} \rightarrow \text{deg}(N(s)) + \text{deg}(X_N(s))$

*Handwritten notes: "Want to be bounded as well" with arrows pointing to the denominator terms.*

Know that  $\text{deg}(X_N(s)) < \text{deg}(X_D(s)) \Rightarrow \text{deg}(D(s)) + \text{deg}(N(s)) \leq \text{deg}(D(s)) + \text{deg}(X_D(s))$

$\text{deg}(N(s)) \leq \text{deg}(D(s)) \Rightarrow \text{deg}(N(s)) + \text{deg}(X_N(s)) \leq \text{deg}(D(s)) + \text{deg}(X_D(s))$

*Handwritten notes: "proper" (1), "poles  $\in$  LHP on  $s$ -axis and simple" (2). "Page 6-23".*

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*Handwritten note: "Y(s) is proper."*

1.  $\text{degree}[\text{numerator of } Y(s)] < \text{degree}[\text{denominator of } Y(s)]$

$\text{degree}[\text{numerator of } Y(s)] = \text{degree}[N(s)] + \text{degree}[\text{numerator of } X(s)]$   
 $\text{degree}[\text{denominator of } Y(s)] = \text{degree}[D(s)] + \text{degree}[\text{denominator of } X(s)]$   
 Since  $X(s)$  is bounded,  $\text{degree}[\text{numerator of } X(s)] < \text{degree}[\text{denominator of } X(s)]$ , thus all we need is  **$\text{degree}[N(s)] \leq \text{degree}[D(s)]$** .

2.  $Y(s)$  has either open left half plane poles and/or simple poles on  $j\omega$ -axis.

As  $X(s)$  is bounded, its poles must either be on the open left half poles or simple on the imaginary axis. To ensure  $Y(s) = H(s)X(s)$  satisfied the same criteria, all the poles of  $H(s)$  must be on the open left half plane.

$H(s)$  cannot have any pole on the imaginary axis, not even simple one because the input  $X(s)$  might also have a simple pole at the same location and the resulting  $Y(s)$  will have DOUBLE imaginary poles, making it non-bounded.

### Asymptotic Stable

Definition: Assume zero input, a system is asymptotic stable if it gives a transient output for **ANY initial state**.

A system is asymptotically stable if all the poles of the Laplace transform  $\frac{1}{D(s)}$  are on the open left half plane.

This is easy. As there is no input, the output is  $Y(s) = \frac{C(s)}{D(s)}$ . In general,  $Y(s)$  will have the same poles as  $\frac{1}{D(s)}$  unless there are cancellations of poles and zeros. Thus to ensure  $y(t)$  is transient, all we need is to ensure that  $\frac{1}{D(s)}$  has open left half plane poles.

Also, it is easy to see that BIBO stability  $\Rightarrow$  Asymptotic stability.

Marginally Stable

Definition: Assume zero input, a system is marginally stable if it gives a bounded output for ANY initial state.

A system is ~~asymptotically~~<sup>marginally</sup> stable if all the poles of the Laplace transform  $\frac{1}{D(s)}$  are either on the open left half plane or simple on the imaginary axis.

To ensure  $Y(s) = \frac{C(s)}{D(s)}$  is bounded, we need to check:

1.  $\text{degree}[C(s)] < \text{degree}[D(s)]$ . This is always true. See page 6-15.
2. Poles of  $Y(s)$  are either on the open left half plane or simple on the imaginary axis.

Also, it is easy to see that BIBO stability  $\Rightarrow$  Asymptotic stability  $\Rightarrow$  Marginally stability.

Unstable system

Definition: Assume zero input, a system is unstable if it gives a unbounded output for some initial state.

An unstable system is a system that is NOT marginally stable.

$$\text{BIBO} \Leftrightarrow \begin{cases} \textcircled{1} H(s) = \frac{N(s)}{D(s)} & : \quad \text{deg}(N(s)) \leq \text{deg}(D(s)) \\ \textcircled{2} H(s) \text{ has only LHP poles} \end{cases}$$

$$\text{Asymptotic} \Leftrightarrow \frac{1}{D(s)} \text{ has only LHP poles}$$

$$\text{Marginal} \Leftrightarrow \frac{1}{D(s)} \text{ has LHP poles or } j\omega\text{-axis + simple poles}$$

If a system is BIBO, is it asymptotically stable? YES  
 is it marginally stable? YES

If a system is asym stable, is it BIBO? Maybe.  
 is it marginally stable? YES

If a system is marginally, is it BIBO? Maybe  
 is it asym stable? Maybe